

## **GLP-1 receptor signaling is not required for reduced body weight after RYGB in rodents**

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*Running Title:* **GLP-1 signaling and gastric bypass**

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**1 Abstract**

2

3 Exaggerated GLP-1 and PYY secretion is thought to be a major mechanism in the reduced food intake  
4 and body weight after Roux-en-Y gastric bypass surgery. Here we use complementary pharmacological  
5 and genetic loss-of-function approaches to test the role of increased signaling by these gut hormones in  
6 high-fat diet-induced obese rodents. Chronic brain infusion of a supra-maximal dose of the selective GLP-  
7 1 receptor antagonist exendin-9-39 into the lateral cerebral ventricle significantly increased food intake  
8 and body weight in both RYGB and sham-operated rats, suggesting that, while contributing to the  
9 physiological control of food intake and body weight, central GLP-1 receptor signaling tone is not the  
10 critical mechanism uniquely responsible for the body weight lowering effects of RYGB. Central infusion of  
11 the selective Y2R-antagonist BIIE0246 had no effect in either group, suggesting that it is not critical for  
12 the effects of RYGB on body weight under the conditions tested. In a recently established mouse model  
13 of RYGB that closely mimics surgery and weight loss dynamics in humans, obese GLP-1R-deficient mice  
14 lost the same amount of body weight and fat mass and maintained similarly lower body weight compared  
15 with wildtype mice. Together, the results surprisingly provide no support for important individual roles of  
16 either gut hormone in the specific mechanisms by which RYGB rats settle at a lower body weight. It is  
17 likely that the beneficial effects of bariatric surgeries are expressed through complex mechanisms that  
18 require combination approaches for their identification.

19

20

21 **Key Words:** Roux-en-Y gastric bypass, gut hormones, brain, GLP-1R knockout, food intake, Exendin-  
22 (9-39), BIIE0246, high-fat diet

## 23 Introduction

24 The number of bariatric surgeries performed has steadily increased because for many obese patients  
25 it is the last hope for significant and enduring body weight loss and general improvement of health. There  
26 have been an increasing number of clinical and preclinical studies with the goal to unravel the  
27 mechanisms underlying these beneficial effects of bariatric surgeries, but there has not yet been a  
28 breakthrough. A major hypothesis is that changes in gut hormone release are crucial. Drastically  
29 increased postprandial circulating levels of GLP-1 and PYY have been demonstrated in clinical studies  
30 (18, 32, 33, 36, 38, 44, 47, 50) and in rodent models (13, 16, 37, 56) for both Roux-en-y gastric bypass  
31 and vertical sleeve gastrectomy.

32 GLP-1 is a powerful hormone that acts both in the periphery and brain to stimulate insulin secretion,  
33 inhibit gastric emptying, and suppress food intake (for recent reviews see (7, 12, 43)). Exogenous  
34 administration of GLP-1 or its stable analog Exendin-4, as well as PYY(3-36), has been shown in  
35 numerous preclinical and clinical studies to suppress food intake and lower body weight (e.g. (2, 4, 5, 9,  
36 15, 19, 24, 27, 40, 57, 59), or to suppress hepatic glucose production (52), in some of them by directly  
37 acting on the brain. The stable GLP-1 receptor agonist Exendin-4 is widely used by type-2 diabetic  
38 patients to stabilize glucose levels and reduce body weight (30, 43). Although GLP-1 gains easy access  
39 to the brain (26, 29), its short half-life makes unclear whether endogenous GLP-1 from the gut reaches  
40 the brain in high enough concentrations to affect food intake and glucose homeostasis under normal  
41 conditions. The greatly increased circulating levels after gastric bypass or sleeve gastrectomy may affect  
42 the brain directly or indirectly via GLP-1 receptors on sensory vagal fibers assumed to innervate the  
43 intestinal mucosa and hepatic portal vein (42, 62). In addition, proglucagon is expressed in neurons of the  
44 solitary nucleus in the caudal brainstem, the origin of extensive local and forebrain projections of GLP-1  
45 and GLP-2 immunoreactive fibers (61).

46 Although exaggerated postprandial GLP-1 and PYY responses are widely believed to play a major  
47 role in the beneficial effects of RYGB on body weight and glucose homeostasis, direct evidence has been  
48 lacking. Strong indirect evidence comes from studies in RYGB patients, with appetite and body weight  
49 negatively correlated with elevated PYY and GLP-1 concentrations (38). Furthermore, food intake  
50 increased upon treatment of RYGB patients (38) and rats after RYGB (22) with the nonspecific inhibitor of

51 gut hormone secretion octreotide. There have been only two attempts to mechanistically and directly test  
52 the role of endogenous GLP-1 and PYY in the weight-reducing effects of bariatric surgeries. In a study in  
53 PYY-deficient mice, the initial body weight loss after a modified gastric bypass surgery was greatly  
54 attenuated compared to wildtype mice, suggesting a role for exaggerated circulating levels of this gut  
55 peptide (14). In a study in GLP-1 receptor deficient mice, sleeve gastrectomy was similarly effective  
56 compared to wildtype mice, suggesting that exaggerated circulating GLP-1 levels are not required for  
57 VSG's effects on weight loss and improvement of glycemic control (64). This latter finding was surprising  
58 given the similarly elevated circulating levels of postprandial GLP-1 and PYY in humans (44) and rodents  
59 (13, 56).

60 Here we examined the potential roles of brain GLP-1 and Y2-receptor signaling in the body weight  
61 lowering effects of RYGB. We were specifically interested in mechanisms that defend the lower levels of  
62 body weight after the initial weight loss phase. Unlike calorie-restriction-induced weight loss, RYGB does  
63 not induce strong counter-regulatory responses such as increased hunger, allowing most RYGB patients  
64 to resist weight regain. We tested the hypothesis that central GLP-1 and Y2 receptor signaling contribute  
65 to the defense of the lower body weight level after RYGB. To this end, we used chronic pharmacologic  
66 blockade of central GLP-1 or Y2 receptor signaling by their respective antagonists Ex9 or BIIE0246.  
67 Having recently established a murine model of RYGB with a small gastric pouch that closely mimics  
68 surgery in humans and leads to sustained loss of body weight by selective reduction of excess fat mass  
69 (25), we then tested the effectiveness of RYGB to lower food intake and body weight in GLP-1 receptor  
70 null mice.

71

## 72 **Materials and Methods**

### 73 *Animals*

74 Rats: Male Sprague-Dawley rats initially weighing ~200 g (Harlan Industries, Indianapolis, IN) were  
75 housed individually in wire-mesh cages at a constant temperature of 21-23° C with a 12h light-dark cycle  
76 (lights on 07:00, off at 19:00). Food and water were provided ad libitum unless otherwise indicated.  
77 Animals were made obese by putting them on a two-choice diet for 8 weeks consisting of normal  
78 laboratory chow (Kcal%: Carb, 58; Fat, 13.5; Prot, 28.5, # 5001, Purina LabDiet, Richmond IN) and high-

79 sucrose, high-fat diet (sweet HF diet; Kcal%: Carb, 35; Fat, 45; Prot, 20, D12451, Research Diets, New  
80 Brunswick, NJ), with each of the diets containing sufficient minerals and vitamins. They were then  
81 randomly assigned to either RYGB or sham-surgery. Liquid Ensure diet (Kcal%: Carb, 64; Fat, 21.6; Prot,  
82 14.4, Abbott Laboratories, Columbus, OH) was provided for the first 5 days after surgery or longer if  
83 needed. A lean control group without surgery was placed on a regular chow diet throughout the  
84 experiment. Four rats, two with RYGB and two with sham surgery were shipped from the Surgical Core of  
85 Harvard Medical School (Dr. Lee Kaplan).

86 Mice: GLP-1R deficient mice were originally generated on a 129/J, CD1, and C57BL/6J background and  
87 backcrossed six times on a pure C57BL/6J background by Dr. Daniel Drucker in Toronto, Canada (54). A  
88 breeding colony with this background was then transferred to the Pennington Biomedical Research  
89 Center, where they were maintained by sister-brother breeding. Genotyped male GLP-1R<sup>-/-</sup> and  
90 C57/BL6J wildtype mice were made obese on a high-fat diet (58% of energy from fat, Research Diets  
91 D12331) for 12 weeks and were 4 months old at the time of surgery. After surgery or sham-surgery, they  
92 were given medium-fat diet (27% of energy from fat, # 8626 Teklad mouse breeder diet), except for  
93 postsurgical weeks 10-16, when they were given a two-choice cafeteria diet consisting of two complete  
94 diets, one low-fat (regular chow, 4.4% energy from fat, Teklad 7001) and one high-fat (58% energy from  
95 fat, Research Diets No. D12331). The reason for switching to medium-fat diet after surgery was twofold.  
96 First, in earlier studies in both mice and rats, we noted that after RYGB animals increased preference for  
97 low-fat regular chow and that some RYGB animals showed signs of morbidity if provided only high-fat  
98 diet. Second, reduced intake of high-fat foods is an important component of post-surgical behavioral  
99 counselling in gastric bypass patients. However, we did not provide a choice of low and high-fat diets  
100 throughout the postsurgical period because it would complicate interpretation of energy expenditure data  
101 and respiratory exchange ratio.

102 All protocols involved in this study were approved by the Institutional Animal Care and Use Committee  
103 at the Pennington Biomedical Research Center or at Harvard University in accordance with guidelines  
104 established by the National Institutes of Health.

105

106 *Roux-en-Y gastric bypass surgery*

107 Rats: Under adequate isoflurane anesthesia, the stomach was first freed from all the ligaments that  
108 connect it to the liver and the spleen. Then, the focus of the surgeon turned to the gastric artery that  
109 emerges from the celiac artery. The gastric artery is in very close approximation with the gastroesophageal  
110 junction and then runs parallel to the lesser curvature of the stomach branching into one anterior and one  
111 posterior vessel that in turn give rise to several smaller branches on the anterior and posterior wall.  
112 Before transecting the stomach, the gastric artery was gently dissected off the gastric wall using a  
113 microhook. Using a bipolar cautery probe, the first anterior branch that crosses the anterior wall of the  
114 stomach, just millimeters from the gastroesophageal junction was cauterized, allowing for transection of  
115 the stomach wall with fine scissors without bleeding. After repairing the distal stomach using a running 6-  
116 0 silk suture, an end-to-end gastrojejunostomy was constructed with a 7-0 silk suture. This surgery  
117 resulted in a gastric pouch of no more than about 5% of the gastric volume, as well as Roux,  
118 biliopancreatic, and common limbs of about 20, 22, and 60 cm. Sham-surgery consisted of laparotomy  
119 and mobilization of stomach and small intestine. For analgesia, Buprenorphine, meloxicam (1-2 mg/kg,  
120 s.c.), and/or carprofen (5 mg/kg, s.c.) were administered as necessary. To overcome potential deficits in  
121 iron absorption and development of anemia, rats were administered a macromolecular dextran-iron  
122 complex (Iron Dextran injectable, catalog # 93963, 5 mg, sc; Town and Country, Ashland, OH) once a  
123 week for the first two weeks after RYGB surgery. Additional doses were administered to individual  
124 anemic animals if indicated by a hematocrit of less than 40%.

125 In addition to the high-fat-fed RYGB and sham-operated rats, a non-surgical, age-matched group was  
126 fed standard laboratory chow throughout the study and served as lean, never-obese, control group.

127  
128 Mice: As described in detail earlier (25), surgery resulted in a small gastric pouch of less than 5% of the  
129 stomach volume, and Roux-, biliopancreatic, and common limbs of about 6, 6, and 12 cm, respectively.  
130 By taking care to preserve gastric vasculature as much as possible, the mortality rate is < 10% and the  
131 animals typically consume significant amounts of food two days after surgery. Sham surgery consisted in  
132 cutting the jejunum followed by re-anastomosis and by mobilizing the stomach and placing a metal clip  
133 on the greater curvature.

134

135 *Measurement of body weight and body composition*

136 Body weight was monitored daily for the first two weeks, and then was recorded weekly. Body  
137 composition was measured before introduction of the high-fat diet (23 weeks before surgery), after 20  
138 weeks of high-fat diet (3 weeks before surgery), 6 weeks after surgery, as well as before and after the  
139 chronic pharmacological blockade, by using a Minispec LF 90 NMR Analyzer (Bruker Corporation, The  
140 Woodlands, TX). This method uses whole body magnetic resonance relaxometry in unanesthetized  
141 rodents with excellent linearity and reproducibility (34).

142

143 *Experimental protocol*

144 Rats: Eight weeks after surgery, all rats, including the chow-fed controls were equipped with ICV  
145 cannulas. After recovery from this second surgery, rats were adapted to an automated system  
146 (PhenoMaster/ LabMaster, TSE Systems, Chesterfield MO), continuously monitoring food and water  
147 intake, oxygen consumption, and locomotor activity. At this time, access of RYGB and sham-operated  
148 rats was restricted to the high-fat diet only. After adaptation with training lids, animals were monitored for  
149 a 4-6 day baseline period, at which time ALZET Minipumps (2 ml/14 days, Durect Corporation, Cupertino  
150 CA) containing either the GLP-1 receptor antagonist Ex9, or the Y2 receptor antagonist BIIE0246, or the  
151 respective vehicle were implanted under the back skin and connected to the ICV cannulae under  
152 isoflurane anesthesia. After monitoring all parameters continuously for another 14 days, the rats were  
153 transferred back to regular cages and the pumps removed.

154 Mice: At the time of surgery, mice were switched from high-fat (45%) to medium-fat diet ( 27% energy  
155 from fat). Seven weeks after surgery, body composition was measured as described above. At 12-14  
156 weeks after surgery, the medium-fat diet was replaced with a two-choice cafeteria diet consisting of two  
157 complete diets, one low-fat (regular chow, 4.4%) and one high-fat (58% energy from fat. Intake of both  
158 components was measured daily for the first 10 days and then for 3 days every week. Body composition  
159 was measured before and after 6 weeks on this high-fat cafeteria diet.

160

161 *Chronic ICV infusions*

162 ICV cannulas (Plastics One, Roanoke, VA) were aimed at the left lateral ventricle as described in  
163 detail earlier (65). The lateral ventricle was chosen because the infusion reaches the entire brain and to  
164 avoid any inadvertent damage to the roof of the third ventricle and dorsal hypothalamus. After recovery,  
165 cannula placements were verified by monitoring the acute drinking response to ICV injection of  
166 angiotensin-2. All animals drank at least 5 ml of water within 10 minutes of ANG-2 administration.

167 Infusions started with the implantation and connection with ALZET minipumps loaded with either  
168 Exendin-(9-39) (Ex9) (100 µg/rat/day, dissolved in sterile saline (Twentyfirst Century Biochemicals, Inc.,  
169 Marlboro, MA), BIIE0246 (100 µg/rat/day, dissolved in 40% DMSO, Tocris Bioscience, Minneapolis MN),  
170 or sterile saline as vehicle control (Veh), in separate groups of 8-10 rats. All infusions lasted for 14 days  
171 (nominally) at a rate of 0.5 µl/h, and the pumps were promptly removed at 16-18 days after the start of  
172 infusion. The dose of Ex9 was based on its effectiveness to increase food intake and body weight in both  
173 chow and high-fat diet-fed rats reported in an earlier study by Barrera et al. (6). At an average body  
174 weight of about 450 - 500 g, this amounts to about 42-46 pmol/kg/min. Because the much lower dose of  
175 0.5 pmol/kg/min was effective in increasing food intake in mice (31), we consider our dose as clearly  
176 supra-maximal. The dose of BIIE0246 was based on earlier reports with brain injections (1, 45) and a  
177 separate experiment with single injections to test its effectiveness to prevent suppression of food intake  
178 induced by injection of PYY(3-36). To this end, male Sprague-Dawley rats (n = 5) on regular chow with  
179 fourth ventricular cannulas were food deprived overnight and received an acute injection of BIIE0246 (1  
180 µg/3 µl in 5% DMSO, Tocris) or vehicle alone, followed 15 min later by an acute injection of PYY(3-36)  
181 (1.5 µg/3 µl in sterile saline, Tocris), or saline and food intake was measured 30 min after the last  
182 injection. One rat that did not decrease food intake after PYY(3-36) injection was not included in the  
183 analysis. Based on the effectiveness of 1 µg BIIE0246 in this acute study, we used a chronic infusion rate  
184 of 2.1 µg/30 min (100 µg/day) in the chronic infusion study.

185

#### 186 *Measurement of food intake and VO<sub>2</sub> consumption*

187 Up to the time in the metabolic chambers, food intake was measured manually and taking into account  
188 spillage before and after surgery. In the chambers, food and water consumption was measured  
189 automatically, without taking spillage into account. All food intake is reported in kcal/day or kcal/12 h dark



190 or light period. VO<sub>2</sub> consumption was measured throughout the baseline and 14-day infusion period in  
191 metabolic chambers (PhenoMaster/LabMaster, TSE Systems) and expressed either per rat or per kg  
192 body mass.

193

#### 194 *Statistical analysis*

195

196 All data were analyzed with appropriate two-way ANOVAs. Where appropriate, time was used as  
197 within-subjects repeated measure. Selective preplanned comparisons of individual means were made by  
198 using Bonferroni-corrected multiple comparison tests. Raw food intake data were collected in grams and  
199 multiplied by values for metabolizable energy content as provided by the supplier. Means ± SEM are  
200 reported throughout.

201

202

## 203 **Results**

204

### 205 **Effects of pharmacological blockade of central GLP-1R signaling in rats**

#### 206 *RYGB-induced effects on body weight and body composition*

207 The effect of RYGB and sham surgery on body weight and body composition is shown in Fig. 1. RYGB  
208 rats showed a rapid initial weight loss and stayed at that significantly lower body weight level throughout  
209 the study (Fig. 1A). At the time of brain cannulation, they weighed significantly less and the fat mass and  
210 adiposity index were significantly lower compared with sham-operated controls, and similar to age-  
211 matched, chow-fed control rats (Fig. 1 B, C). Total and percent lean mass after RYGB was similar to  
212 chow-fed lean controls, and significantly lower compared with sham-operated rats (Fig. 1 D, E).

213

#### 214 *Effects of chronic ICV Ex9 infusion on body weight and body composition*

215 In RYGB rats, infusion of the GLP-1 receptor antagonist Exendin-9 resulted in a slow but steady body  
216 weight increase which became significant compared with saline infusion around day 12 (Fig. 2A). At the  
217 end of the infusion period on day 16, Ex9 infused RYGB rats had gained significantly ( $t[9] = 3.56$ ,  $p < 0.01$ )  
218 more body weight compared with vehicle infused RYGB rats (Fig. 2B). However, sham-operated rats  
219 gained body weight more rapidly with Ex9 compared to vehicle infusion, with the first significant difference

220 on day 6 ( $t[9] = 3.38, p < 0.01$ ), and more rapidly than RYGB rats with Ex9 infusion. Analysis of body  
221 weight gain from pump implantation to day 16 revealed significant main effects of infusion ( $F[1,41] =$   
222  $58.48, p < 0.0001$ ) and surgery ( $F[2,41] = 6.00, p < 0.005$ ) but no significant interaction (Fig. 2 B). Follow-  
223 up comparisons showed that Ex9 significantly increased weight gain vs. vehicle in all groups. Vehicle  
224 infusion did not differentially affect body weight gain in the three groups, although RYGB rats gained the  
225 least.

226 Analysis of changes in body composition was based on measurements at  $8.7 \pm 1.0$  days before and  
227  $8.8 \pm 1.0$  days after metabolic chamber housing, an average total period of 38 days. For fat mass gain, it  
228 revealed significant main effects of infusion ( $F[1,37] = 33.07, p < 0.0001$ ) and surgery ( $F[2,37] = 9.50, p <$   
229  $0.001$ ), but no significant interaction (Fig. 2 C). Direct comparisons showed that Ex9 significantly  
230 increased fat mass gain vs. vehicle in RYGB and sham-operated rats (RYGB:  $+18.6$  g,  $t = 3.54, p < 0.01$ ;  
231 sham:  $18.96$  g,  $t = 4.02, p < 0.01$ ), but not in chow-fed rats ( $+10.8$  g,  $t = 2.37, n.s.$ ). As shown in Fig. 2 D,  
232 the adiposity index was similarly affected, except that only the main effect of infusion was significant  
233 ( $F[1,37] = 42.79, p < 0.0001$ ) and that in follow-up comparisons, the adiposity-promoting effect of Ex9 was  
234 significant in RYGB ( $t = 4.65, p < 0.001$ ), sham ( $t = 3.61, p < 0.01$ ), and chow-fed rats ( $t = 2.96, p < 0.05$ ).  
235 Finally, analysis of lean mass revealed only a significant main effect of surgery, reflecting the significantly  
236 lower lean mass gain in RYGB rats compared to sham-operated and chow-fed rats (Fig. 2 E).

237

#### 238 *Effects of Ex9 on food and water intake and feed efficiency*

239 Analysis of food intake data assessed during the baseline and infusion periods in the metabolic  
240 chambers revealed a significant main effect of infusion ( $F[3,82] = 11.02, p < 0.0001$ ), and surgery ( $F[2,82]$   
241  $= 4.77, p = 0.05$ ) (Fig. 3 A). Follow-up multiple comparisons showed that in both RYGB and sham-  
242 operated rats, but not in chow-fed controls, food intake was significantly higher under conditions of GLP-1  
243 blockade compared with the same rats before infusion and with vehicle infused rats. The increase of food  
244 intake in RYGB rats was of similar magnitude as in sham-operated rats. As expected, RYGB rats  
245 consumed about 12 % less calories compared with sham-operated rats during baseline and vehicle  
246 infusion.

247 Focusing on the dark period food intake, ANOVA applied to only RYGB and sham-operated rats  
248 revealed a significant main effect of surgery ( $F[1,54] = 15.76$ ,  $p < 0.001$ ) and a marginally significant  
249 effect of infusion ( $F[3,54] = 2.63$ ,  $p = 0.06$ ). Follow-up tests showed RYGB rats generally consuming less  
250 calories (~20%) during baseline and vehicle infusion compared with sham-operated rats, and significantly  
251 higher intake with Ex9 vs. saline in RYGB rats (Fig. 3 B). Focusing on light period intake, there was a  
252 significant main effect of infusion  $F[3,54] = 6.48$ ,  $p < 0.001$ , with both RYGB and sham rats, but not chow  
253 rats, showing significant Ex9 induced increases. In the absence of Ex9, food intake was not different  
254 between RYGB and Sham rats.

255 Feed efficiency calculated as weight gain per calorie eaten in the 11-day period from day 4-14 after  
256 the start of infusion showed that RYGB rats are slightly less efficient under saline conditions, but that  
257 sham-operated, RYGB, and chow-fed lean rats significantly increase feed efficiency under the influence  
258 of Ex9 (Fig. 3 D).

259 Finally, Ex9 infusion resulted in small increases in water intake that seemed secondary to the  
260 increased food intake (not shown).

261

#### 262 *Effects of Ex9 on energy expenditure, RER, and locomotor activity*

263 Analysis of  $VO_2$  consumption in mL/rat during the baseline infusion periods in the metabolic chambers  
264 for all three groups (RYGB, Sham, and Chow) revealed a significant main effect of surgery ( $F[2,82] =$   
265  $5.59$ ,  $p, 0.01$ ), but not infusion ( $F[3,96] = 1.93$ , n.s.) or interaction ( $F[6,82] = 0.72$ , n.s.) (Fig. 4A). The main  
266 effect of surgery was due to generally lower  $VO_2$  consumption in RYGB rats compared to sham-operated  
267 and chow-fed rats. Expression of  $VO_2$  consumption per kg total body mass (Fig. 4B), revealed a  
268 significant main effect of surgery ( $F[2,82] = 7.13$ ,  $p < 0.005$ ), which was due to higher energy expenditure  
269 of chow-fed rats with Ex9 infusions. If the ANOVA only included RYGB and sham-operated rats, the main  
270 effect of surgery was no longer significant ( $F[1,54] = 0.28$ , n.s.). Thus,  $VO_2$  consumption was not  
271 significantly different between RYGB and sham-operated rats. Finally, if energy expenditure was  
272 corrected for lean body mass, it was slightly higher in RYGB vs. sham-operated rats, but the main effect  
273 of surgery did not reach statistical significance (data not shown).

274 As expected, the respiratory exchange ratio was significantly higher for chow-fed lean rats as reflected  
275 by the significant main effect of surgery ( $F[2,82] = 23.10$ ,  $p < 0.0001$ ; ANOVA across all 3 groups) (Fig.  
276 4C). There was also a marginally significant main effect of infusion ( $F[3,82] = 3.14$ ,  $p < 0.05$ ), reflecting  
277 the consistently higher RER during Ex9 vs. vehicle infusion in all 3 groups. However, ANOVA across only  
278 RYGB and sham groups yielded no significant main effect of surgery ( $F[1,54] = 1.8$ , n.s.), revealing that  
279 there was no difference in RER between RYGB and sham-operated rats.

280 Locomotor activity was generally higher in chow-fed controls as indicated by the highly significant main  
281 effect of surgery ( $F[2,82] = 5.32$ ,  $p < 0.01$ ) (Fig. 4D). However, ANOVA applied only to RYGB and sham-  
282 operated rats did not reveal a significant main effect of surgery ( $F[1,54] = 0.73$ , n.s.), and there was no  
283 significant effect of Ex9 on locomotor activity.

284

#### 285 **Effects of pharmacological blockade of central Y2R-signaling in rats with BIIE0246**

286 In contrast to Ex9, chronic infusion of BIIE0246 had no significant effects on body weight compared to  
287 vehicle infusion (Fig. 5 A). There was a slight decrease of body weight over the 14 day infusion period in  
288 both RYGB and sham-operated rats. To verify potency of BIIE0246, the Y2 receptor blocker or vehicle  
289 was injected ICV 15 min before injection of PYY(3-36) or saline in a separate group of rats (Fig. 5B). Two  
290 way ANOVA yielded significant effects of pretreatment (blocker vs. vehicle,  $F[1,12] = 10.48$ ,  $p < 0.01$ ),  
291 post-treatment (PYY(3-36) vs. vehicle,  $F[1,12] = 13.26$ ,  $p < 0.010$ ), and a significant interaction ( $F[1,12] =$   
292  $8.83$ ,  $p < 0.05$ ), meaning that the food intake suppressing effect of PYY(3-36) was almost completely  
293 abolished by BIIE0246, without having an effect of its own, confirming efficacy of BIIE0246 to acutely  
294 block the anorexic effects of ICV PYY(3-36).

295

#### 296 **Effect of RYGB on body weight and composition in GLP-1R null and wildtype mice**

297 Our mouse model of RYGB closely matches human surgery in the size of the gastric pouch and  
298 relative lengths of the three limbs (25), and produces sustained body weight loss in wildtype animals (Fig.  
299 6A). Here we show that RYGB-induced weight loss was almost identical in whole body GLP-1 receptor  
300 knockout mice (Fig. 6B). Maximal body weight loss of 30-35% was reached after about 3 weeks and was  
301 sustained for 8 weeks after surgery in both genotypes (Fig. 6C). Weight loss was entirely accounted for

302 by fat mass loss in both genotypes, so that fat mass of RYGB animals was not different from chow-fed  
303 lean controls (Fig. 6D,E).

304 Exposure to a high-fat diet did not reveal a differential response in GLP-1R<sup>-/-</sup> compared with wildtype  
305 mice. Sham operated mice of both genotypes significantly gained body weight during the 6 weeks of high-  
306 fat feeding, but this weight gain was significantly less in GLP-1R<sup>-/-</sup> mice (Fig. 7 A,B). In contrast, RYGB  
307 mice of both genotypes did not gain any weight; they initially even lost some weight. Because some GLP-  
308 1R<sup>-/-</sup> mice exhibited reduced food intake and body weight and showed signs of morbidity on a single high-  
309 fat diet, we offered them a two-choice diet composed of regular chow and high-fat pellets. In addition to  
310 measuring total food intake this allowed us to determine a preference ratio for the high-fat diet. Compared  
311 to regular chow as the only diet, access to this choice diet significantly increased total calorie intake in  
312 RYGB and sham operated mice of both genotypes, but the increase was smaller in knockout mice (Fig. 7  
313 D). On the choice diet, while sham operated mice showed a high preference for the high-fat pellets,  
314 RYGB mice consumed just as many calories from low (regular chow) compared to high-fat (Fig. 7 C,E).  
315 There was no effect of genotype on this RYGB-induced loss of high-fat preference.

316

## 317 **Discussion**

318 There is considerable evidence that both GLP-1 and PYY physiologically inhibit food intake [e.g. (7, 8,  
319 17, 19, 57)]. Because both of these gut hormones are hyper-secreted after RYGB and sleeve  
320 gastrectomy, they are prime candidates for the weight lowering effects of these two types of bariatric  
321 surgeries [e.g. (14, 38)]. To test the hypothesis that either exaggerated GLP-1 or Y2 receptor signaling in  
322 the brain is responsible for the maintenance of a low body weight level after RYGB, we chronically  
323 infused the respective antagonists Ex9 or BIIE0246 into the brain over a period of 2 weeks. Infusion of  
324 Ex9 but not BIIE0246 significantly increased body weight and adiposity of RYGB rats by increasing food  
325 intake and feed efficiency, suggesting that central GLP-1 receptor signaling but not Y2 receptor signaling  
326 contributes to the lower weight level defended in rats after RYGB. Although it is not clear how Ex9  
327 significantly increased feeding efficiency in the absence of significantly reduced energy expenditure, a  
328 similar observation was made in rats with knockdown of brainstem proglucagon (6) and in rats with acute  
329 peripheral treatment with Ex9 (3). We speculate that it may be due to a combination of changes in energy

330 intake, absorption, and partitioning, together with non-significant changes in energy expenditure. As  
331 weight gain with Ex9 infusion did not slow down at the end of the two week infusion, RYGB rats may well  
332 have reached pre-surgical body weight levels if blockade would have continued.

333 However, interpretation of our findings is complicated because of the same or even greater anabolic  
334 response to Ex9 infusion in sham-operated obese and chow-fed normal rats. This outcome suggests that  
335 central GLP-1 signaling is involved in normal physiological body weight control, but is not uniquely  
336 involved in the effects on body weight of RYGB. Ideally, for demonstrations of the effectiveness of a  
337 compound to specifically antagonize a mechanism that suppresses food intake, a dose is chosen that by  
338 itself does not significantly increase food intake (55). However, choosing a lower dose of Ex9 that would  
339 produce a smaller or no anabolic effect in sham-operated rats could be expected to produce even less of  
340 an effect in RYGB rats, and it is questionable whether a dose could be found that increases body weight  
341 in RYGB rats more than in sham-operated rats. On the other hand, considering the exaggerated  
342 circulating postprandial GLP-1 levels after RYGB, we cannot rule out that a higher dose of Ex9 may have  
343 been more effective in increasing food intake and reversing suppressed body weight levels after RYGB.  
344 However, we consider the dose of 100 µg/day/rat as supra-maximal, because a more than 100-fold lower  
345 dose (per body weight) still significantly changed food intake and glucose tolerance in mice (31). On a per  
346 animal basis, our dose of Ex9 was more than 1000-fold higher and in all likelihood blocked even the  
347 presumptively high levels of central GLP-1 receptor signaling after RYGB in the rat. While only a full dose-  
348 response study could provide definitive answers, this is not practical for this type of long-term infusion  
349 with a costly peptide.

350 Because we infused the blockers 3-5 month after surgery, we cannot rule out different outcomes at  
351 earlier time points, particularly the initial acute weight loss phase. Given that in human subjects, GLP-1  
352 and PYY levels were elevated and associated with appetite and weight loss as soon as 2 days after  
353 RYGB (38), blockade during this phase in our paradigm would be expected to prevent or reduce initial  
354 weight loss. However, the complicating factor with similar anabolic effects in control rats would remain.  
355 We were particularly interested in the mechanisms defending the relatively stable lower body weight level  
356 after surgery because this is potentially the most remarkable feature of bariatric surgeries. After voluntary  
357 or forced calorie-restriction, strong counter-regulatory responses such as heightened hunger and reduced

358 energy expenditure make it difficult to stay at the lower body weight level for most dieters (48). These  
359 counter-regulatory responses seem to be absent or masked after RYGB. The possibility that central GLP-  
360 1 receptor signaling is involved in this process is intriguing and should be further investigated.

361 By infusing the blockers centrally, we were not able to affect peripheral GLP-1 and Y2 receptor  
362 signaling. Particularly GLP-1 receptor signaling via vagal afferents has been implicated in the control of  
363 food intake (28, 35, 46, 51, 58). It is possible that peripheral infusions would have provided clearer  
364 results, perhaps with a larger anabolic effect in RYGB compared with sham-operated rats. This is  
365 suggested by a study with acute subcutaneous injections of Ex9 in RYGB and sham-operated rats at  
366 about 6 weeks after surgery, where Ex9 significantly increased two hour food intake in RYGB but not  
367 sham-operated rats (3). We chose to infuse the blockers centrally mainly for economic reasons, but also  
368 because of the clear implication of the central GLP-1 signaling system in food intake and energy  
369 homeostasis (6, 63) and recent demonstrations of GLP-1 receptor mediated effects on food intake and  
370 food reward in areas outside the classical homeostatic areas of hypothalamus and brainstem, in the  
371 mesolimbic dopamine system (4, 20, 21). A corollary of central infusion of antagonists is that our  
372 experiment does not distinguish between GLP-1 and PYY originating from the gut and from respective  
373 neurons in the brain. If GLP-1 from the gut does not reach the brain in sufficient concentrations even after  
374 RYGB, the observed effect must be due to antagonism of neuronal GLP-1. It would thus be interesting to  
375 carry out gastric bypass in animals with selective loss of neuronal GLP-1.

376 In contrast to the effect of Ex9, BIIE0246 did not increase body weight of RYGB and sham-operated  
377 rats, suggesting that under the conditions of our paradigm, central Y2 receptor signaling does not play a  
378 role in the effects of RYGB on body weight. Absence of any effect of the blocker on body weight of sham-  
379 operated obese and chow-fed lean controls questioned its efficacy, prompting us to test the ability of  
380 BIIE0246 to antagonize PYY(3-36) induced suppression of food intake. We found pretreatment with  
381 BIIE0246 abolished the acute food intake reducing effects of fourth ventricular PYY(3-36). However, it is  
382 possible that the drug lost efficacy over the two weeks in the minipumps at body temperature. The lack of  
383 effect of Y2 receptor blockade in RYGB rats is in contrast to findings with a modified gastrointestinal  
384 bypass model in PYY-deficient mice (14). In that study, wildtype, but not mice with modified  
385 gastrointestinal bypass weighed significantly less 10 days after surgery compared with sham-operated

386 mice, supporting a role for PYY in the acute effects of gastric bypass on body weight. There are many  
387 experimental differences that could explain the apparent discrepancy. As for GLP-1, PYY signaling  
388 through the Y2 receptor has both a peripheral and central component (2, 8, 9, 49, 53, 58). However, in  
389 contrast to the GLP-1 receptor antagonist Ex9, the Y2 receptor antagonist BIIE0246 does not easily cross  
390 the blood brain barrier (11), and may not reach Y2 receptors on arcuate nucleus neurons in sufficient  
391 concentrations. Chronic infusions into the lateral ventricle are highly likely to penetrate to all areas of the  
392 brain, including critical neurons in the basomedial hypothalamus (2).

393 Given these limitations with semi-chronic central blockade of GLP-1 and Y2-receptors we then took  
394 advantage of an available GLP-1 receptor-deficient mouse generated by the laboratory of Daniel Drucker  
395 (54) and a murine model of RYGB recently developed in our laboratory (25). Unlike other models, our  
396 mouse RYGB model is characterized by a very small gastric pouch without using a metal clip, a very low  
397 mortality rate of < 10%, and a rapid postsurgical recovery, allowing animals to ingest solid food as soon  
398 as 1-3 days after surgery (25).

399 RYGB was just as effective in reducing body weight and fat mass in GLP-1 receptor deficient  
400 compared with wildtype mice, suggesting that signaling through this receptor, whether centrally or  
401 peripherally, is not required for the beneficial effects on body weight of RYGB. The same conclusion was  
402 recently reached in a mouse model of vertical sleeve gastrectomy (64), a surgical intervention that is  
403 drastically different from RYGB, but produces equal weight loss and similarly elevated levels of  
404 postprandial circulating GLP-1 levels in rodents and humans (13, 44). Therefore, surprisingly, GLP-1R  
405 signaling alone does not seem to be required for the beneficial effects of these two weight loss surgeries  
406 and other mechanisms that operate independently or in cooperation with GLP-1 signaling must be  
407 responsible. An inherent potential problem of germline knockout models is the presence of compensatory  
408 developmental changes. This could account for the unexpected outcome of these two types of surgery. It  
409 is conceivable that other mechanisms involved in the control of food intake and regulation of energy  
410 balance at least partially take over the role of GLP-1 signaling. Such redundant mechanisms may include  
411 signaling through the GLP-2 receptor, known to play a role not just in intestinal plasticity (41), but also in  
412 the neural control of appetite and energy balance (23, 39, 60). In fact, the capacity of acute ICV injections  
413 of GLP-1 to suppress food intake is greatly enhanced if the GLP-1 receptor is blocked by co-injection of



414 Ex-9 and in GLP-1R<sup>-/-</sup> mice (39), and plastic adaptations in the interaction between GLP-1R and GLP-2R  
415 signaling could be the reason that no overt perturbations of appetite and energy balance is observed in  
416 GLP-1R<sup>-/-</sup> mice (54). It is also possible that the contribution of critical sites of GLP-1 signaling were  
417 masked by the whole body knockout approach. Inducible and targeted knockout strategies will be  
418 necessary to rule out these possibilities of false negatives. Another limitation of our study is the absence  
419 of functional verification of GLP-1R knockout by testing known effects of GLP-1R agonist administration.  
420 However, homozygous knockout was verified by genotyping the mice before surgery and was previously  
421 demonstrated to result in functional loss of GLP-1R signaling (54) . Finally, we cannot rule out the  
422 possibility that GLP-1R-deficiency was unable to change the outcome of RYGB because GLP-1 secretion  
423 was not increased in our particular mouse model. However, this is highly unlikely in view of the  
424 overwhelming evidence of such GLP-1 hypersecretion across many rodent models and the human  
425 literature involving both RYGB and sleeve gastrectomy.

426 Taken together, the present findings from two complementary approaches surprisingly do not support  
427 a major role of GLP-1R in the effects of RYGB on body weight control. However, the results do not rule  
428 out a role for the GLP-1R in other beneficial effects of RYGB such as weight loss-independent  
429 improvements in glycemic control. In addition, our study also does not support an important role for  
430 central Y2 receptor-signaling, at least under the limited conditions tested and without a semi-chronic  
431 positive control for the effectiveness of the Y2R antagonist.

432

#### 433 *Perspective:*

434 Given the complexity of gut-brain signaling (10), it is likely that a number of pathways are involved,  
435 operating synergistically and in a fashion reflecting the dynamic changes taking place over time in the gut  
436 and other peripheral organs. Interrupting only one signaling mechanism as done here may thus not reveal  
437 its real contribution to the overall effect. This argument is supported by recent studies highlighting the  
438 redundancy of mechanisms in the control of food intake and energy balance – the demonstration that  
439 combinations of drugs often have a larger effect than each of the individual drugs has alone. Therefore, it  
440 might be important to target more than one suspected pathway in interventional studies to obtain  
441 significant results.

442

443

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449

#### 450 **Author Contributions**

451 Study concept and design (HRB, JY, HM, CDM), acquisition of data (NS, MBM, ZH, RLT, LMP), analysis  
452 and interpretation of data (MBM, HRB, CDM, HM), generation and breeding of knockout mice (DJD, JY).

453

#### 454 **Disclosures**

455 The authors have nothing to disclose.

456

457

458

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651 **Figure legends**

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654 **Fig. 1** Effect of RYGB surgery in high-fat diet-induced obese rats on body weight and body composition.655 **A:** Male Sprague-Dawley rats were maintained on high-fat diet throughout the study and body

656 composition was assessed before and after surgery. In addition, an age-matched, non-surgical control

657 group was fed regular low-fat chow throughout. Body weight of RYGB rats rapidly decreased within the

658 first two weeks and remained at that reduced level throughout the observation period, closely matching

659 body weight of chow-fed controls. **B-E:** Effects of RYGB on total fat mass (B), relative fat mass (adiposity,

660 C), total lean mass (D), and relative lean mass (E) in sham-operated (light gray bars), RYGB rats (dark

661 gray bars) and chow-fed, unoperated, age-matched lean controls (white bars). Note that RYGB

662 completely reversed excess high-fat diet-induced fat mass, and lean mass gain to levels found in chow-

663 fed rats. Mean  $\pm$  SEM of 15-20 rats/group. Bars that do not share the same letters denote significant664 differences at  $p < 0.05$  (based on ANOVA followed by Bonferroni-adjusted multiple comparisons).

665

666 **Fig. 2** Effect of chronic pharmacological blockade of central GLP-1 receptor signaling on body weight667 and composition in rats after RYGB or sham-operation. **A:** Two to four months after RYGB or sham-

668 surgery, Exendin-(9-39) (Ex9), 100µg/rat/day for 14 d) or vehicle (Veh, 0.5 µl/h) was infused ICV into the  
669 lateral ventricle. Ex9 significantly increased body weight gain in all 3 groups compared to their respective  
670 vehicle controls. Mean ± SEM of 7-8 rats/group. \* p ,0.05, RYGB/Ex9 vs. RYGB/Veh and Sham/Ex9 vs.  
671 Sham/Veh. **B-E:** Change of body weight (B), fat mass (C), adiposity (D), and lean mass (E), as measured  
672 by NMR before and after infusion. Means ± SEM of 7-8 rats/group. \* p < 0.05, Ex9 vs. vehicle. # p < 0.05,  
673 RYGB vs. Sham.

674  
675 **Fig. 3** Effects of chronic pharmacological blockade of central GLP-1 receptor signaling on food intake  
676 and feeding efficiency of rats 2-4 months after RYGB surgery. **A:** Average daily food intake during pre-  
677 infusion baseline period (white bars) and days 4-14 of infusion with either Ex9 (dark gray) or vehicle (light  
678 gray). **B,C:** Average dark (B) and light (C) period food intake. **D:** Feed efficiency calculated during days 4-  
679 14 of Ex9 or vehicle infusion. Note that Ex9 significantly increases 24 h and 12 h light period food intake  
680 in RYGB and Sham rats and feed efficiency in all three groups. Mean + SEM of 6-8 rats/group. \* p < 0.05,  
681 Ex9 vs. vehicle.

682  
683 **Fig. 4** Effects of chronic pharmacological blockade of central GLP-1 receptor signaling on energy  
684 expenditure, respiratory exchange ratio, and locomotor activity of rats 2-4 months after RYGB surgery. **A:**  
685 Average daily energy expenditure during pre-infusion baseline period (white bars) and days 4-14 of  
686 infusion with either Ex9 (dark gray) or vehicle (light gray). **B:** Energy expenditure corrected for total body  
687 mass. **C:** Respiratory exchange ratio. Note that chow-fed rats show the expected higher RER compared  
688 with the high-fat-fed RYGB and sham rats. **D:** Locomotor activity. Mean ± SEM of 6-8 rats/group.

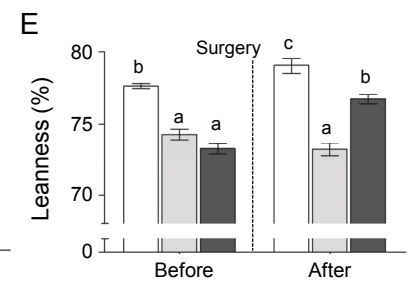
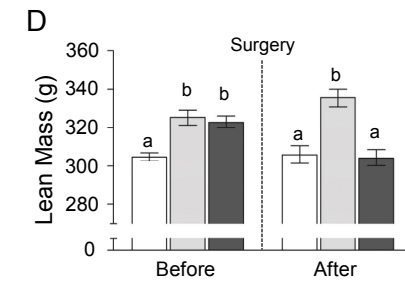
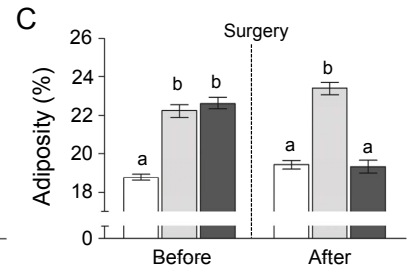
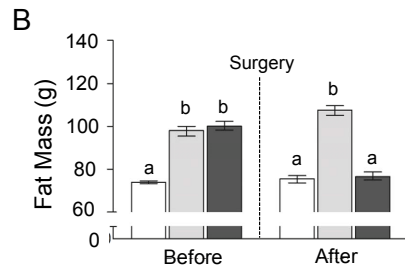
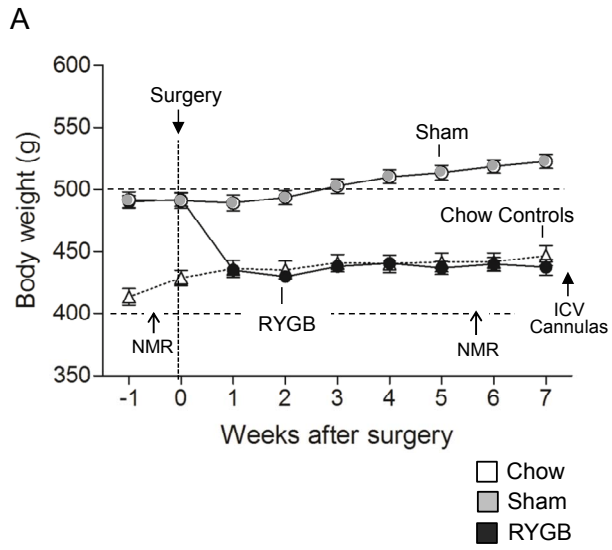
689  
690 **Fig. 5** Effects of chronic pharmacological blockade of PYY/Y2 receptor signaling on body weight. **A:** Five  
691 months after RYGB or sham-surgery, BIIE0246 (BIIE, 100µg/rat/day for 14 d) or vehicle (Veh, 0.5 µl/h)  
692 was infused ICV into the lateral ventricle. Note that vehicle-treated groups are the same as in Fig. 2 and  
693 that BIIE did not have any significant effects on body weight in either surgical group. Mean ± SEM of 6-8  
694 rats/group. **B:** ICV infusion of PYY(3-36) significantly suppresses 30 min food intake in overnight food

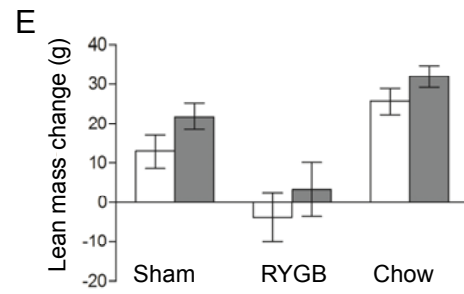
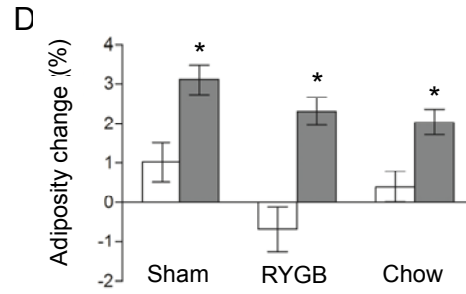
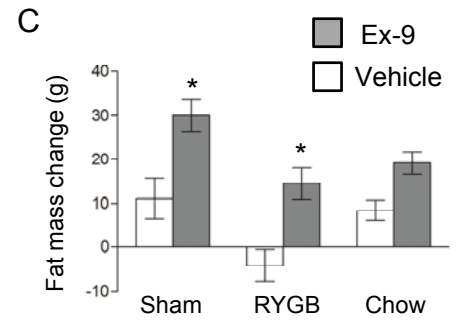
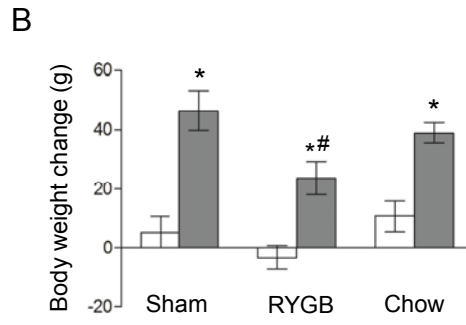
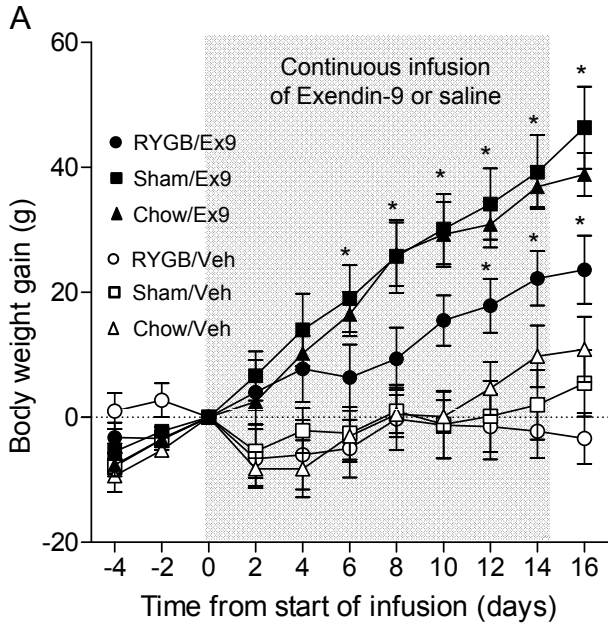
695 deprived rats, and co-infusion of BIIE0246 completely antagonizes the effect of PYY(3-36), demonstrating  
696 short-term potency of the antagonist. Mean  $\pm$  SEM of 4 rats.

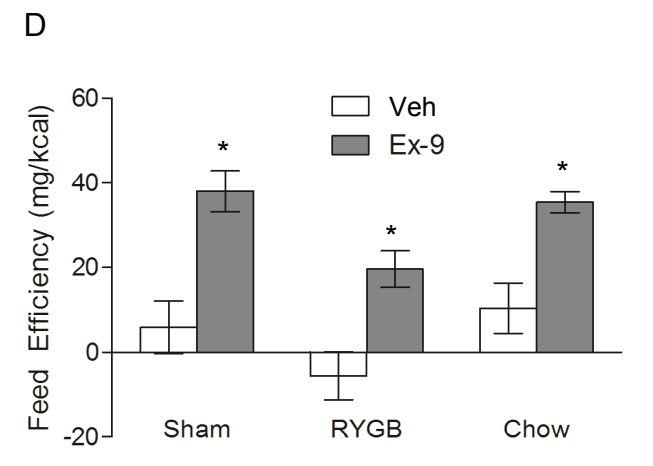
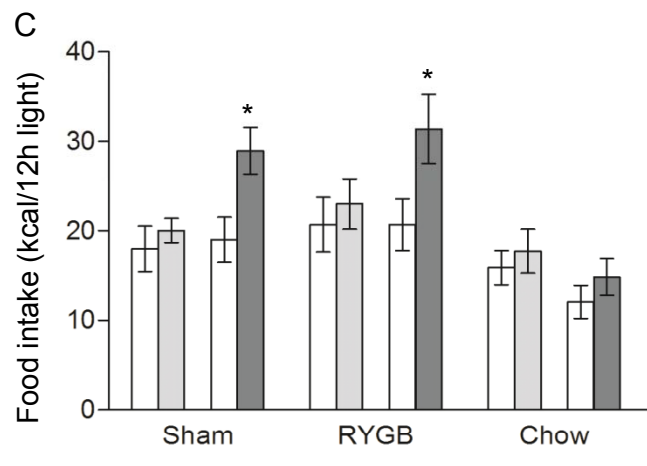
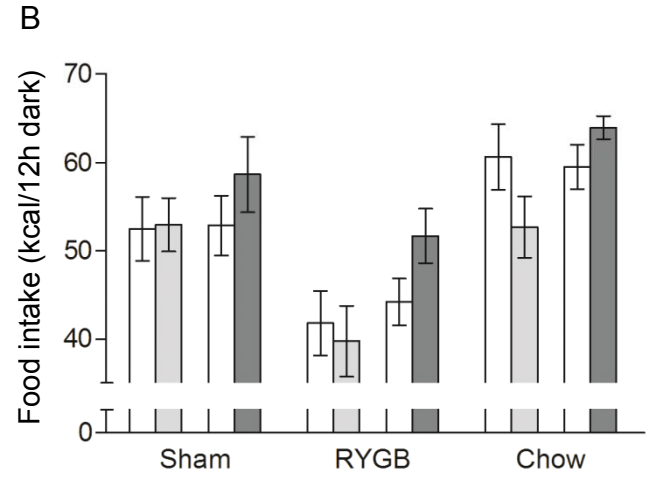
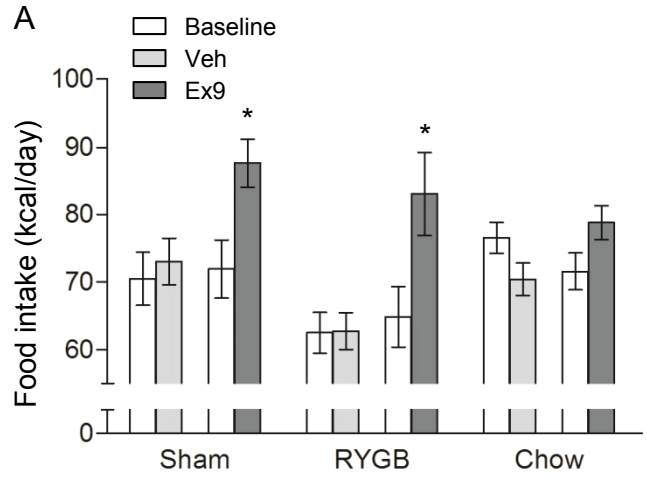
**Fig. 6** RYGB-induced reduction in weight loss and food intake is similar in GLP-1 receptor-deficient and wildtype mice. **A,B:** Body weight of wildtype (A) and GLP-1R<sup>-/-</sup> mice (B) made obese with high-fat diet for 12 weeks and subjected to either RYGB or sham-surgery. High-fat diet was replaced with medium fat diet after surgery. Note that the RYGB-induced weight-loss curves are almost identical for the two genotypes. **C:** Percent weight loss at 8 weeks after surgery. Note that percent weight loss after RYGB was not significantly different between the two genotypes but significantly greater than after sham-operation. The small weight loss in sham-operated animals is due to the switch from high to medium fat after surgery. **D, E:** Fat mass (D) and lean mass (E) of lean (chow-fed), sham-operated (high-fat obese), and RYGB rats at 7 weeks after surgery. Note that all excess fat mass but no lean mass is lost after RYGB. Means  $\pm$  SEM (n=8-10 mice).

**Fig. 7** Food Intake and body weight response of wildtype (GLP-1R<sup>+/+</sup>) and GLP-1R<sup>-/-</sup> mice with RYGB or sham surgery to high-fat diet exposure. Mice were switched from regular chow diet to a two-choice diet consisting of regular chow (low-fat) and high-fat. **A,B:** Sham but not RYGB mice of both genotypes significantly increased body weight during 6 weeks of high-fat choice diet (\* p < 0.05 vs. sham, # p < 0.05 vs. GLP-1R<sup>+/+</sup>). **C-E:** Mice of all groups increased food intake on the high-fat choice diet (D), but while sham mice consumed significantly more of the high-fat, RYGB mice consumed equal amounts of low-fat and high-fat (E). Bars that do not share the same letter are significantly different from each other. Thus, RYGB mice of both phenotypes had a significantly lower preference ratio for high-fat (C), \* p < 0.05, vs. sham). Means + SEM, n = 7 – 8).

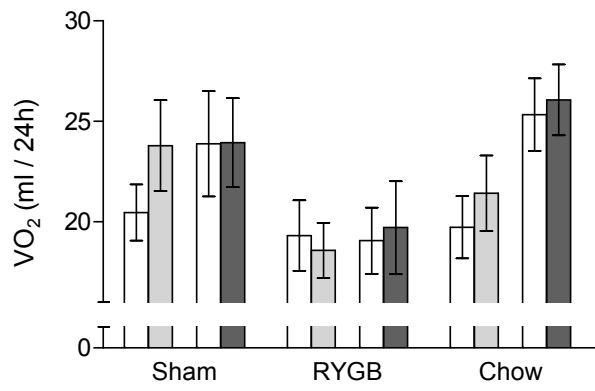




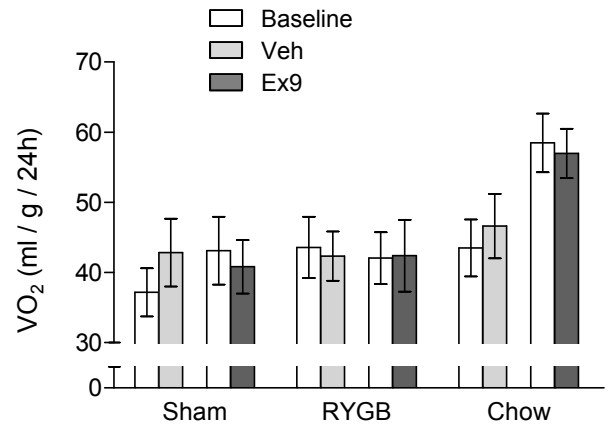




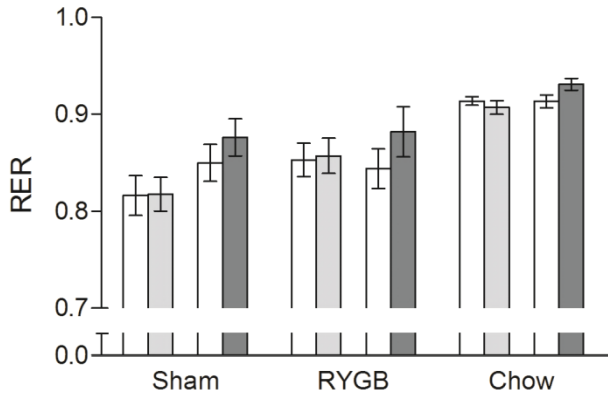
A



B



C



D

